

# Crafting a SOX program to deliver lasting benefits

## Challenge:

- A public tech company on a fast track called RoseRyan to help create and implement their inaugural SOX program, from scoping and planning to design and testing of controls.
- After acing the first SOX report, the client asked us to update and manage the SOX program as the company grew and became more complex.

## Solution:

- RoseRyan designed the SOX program to integrate with the client's workflow. Through the next eight years, our pros built on this foundation, continually updating and simplifying the controls.
- Working alongside the client, we developed deep knowledge of business operations and process pain points, yet maintained independence in testing.
- We gave ad hoc recommendations at critical times; coordinated with auditors to improve the test approach, ensuring reliability and minimizing audit fees; and provided continuity during staff changes.
- RoseRyan created a lean, easy-to-maintain SOX program that saved time and money while giving executives and investors sound information.

## Results:



A lean SOX program



Effective internal controls



Fewer controls than similar companies



Sound information for executives



Lowered cost of SOX



Compliance best practices

**When the company went private and SOX compliance was no longer an obligation, they kept the program anyway. The RoseRyan team had turned a chore into a benefit—the cost savings, efficiencies, enhanced credibility and reliable statements were too good to give up.**



## At a glance

### Company type:

Midsized, public

### Industry:

Technology

### Solution areas:

Corporate Governance,  
Strategic Projects

### About the client:

The public tech company grew from \$100 million to \$500 million in 6 years and the business became more complex. For more than eight years before the company went private, RoseRyan ensured they had an efficient and effective SOX program.

## About RoseRyan

More than

**800**  
clients

**25**  
years in  
business

RoseRyan takes dynamic companies further, faster, by delivering specialized finance and accounting solutions at every stage of your company's growth. Versed in Silicon Valley's rapid pace and unique business environment, our consulting firm has helped 800+ companies achieve success since 1993. No matter the size of your company or the scale of your next endeavor, RoseRyan has the wide-ranging solutions to accelerate growth.

Learn more at [www.roseryan.com](http://www.roseryan.com).

### Contact:

Chris Vane

510.456.3056 x169

[cvane@roseryan.com](mailto:cvane@roseryan.com)